



## Privacy Policy

This Privacy Policy applies to your use of NZX's information technology systems, networks and websites [www.nzx.com](http://www.nzx.com) (including MyNZX), [auth.nzx.com](http://auth.nzx.com), [virtualtrading.nzx.com](http://virtualtrading.nzx.com), and [www.nxt.co.nz](http://www.nxt.co.nz) (**Sites**). In this Policy, references to "we", "us" "our" and "NZX" are to NZX Limited and its subsidiaries, and their respective directors, officers, agents, employees or contractors.

### Your Information

Our server, our agent's or contractor's server, and third parties in the internet infrastructure ("**Servers**") log certain information, which is provided by your browser, when you use our Sites, systems, and networks, such as:

- your server's IP address (a number which is unique to the machine through which you are connected to the Internet) or your personal IP address;
- the type of browser and operating system you are using;
- the domain name of the referring site (e.g. the previous NZX Site that you visited); and
- emails between you and us.

The Servers also log:

- the date and time of your visit; and
- the URL address of the pages accessed and the documents downloaded.

We use this information for a number of purposes connected with the usage of our Sites, and to monitor the security of our Sites, systems and networks. Among other possible uses, NZX may use the information, described above, to analyse certain trends and statistics, such as which parts of the Sites users are visiting and how long they spend there, and to monitor the security of our Sites, systems and networks.

NZX will not try to identify you or your browsing activities through the information set out above except, in the event of an investigation, where a law enforcement agency may exercise a warrant to inspect the logs, or if your usage is causing technical issues for the Sites, including an attack on a Site that may need to be resolved. NZX may in these circumstances identify your IP address to enable NZX to contact you.

### Cookies

The Sites use cookies. A cookie is a string of information that a website stores on a visitor's computer, and that the visitor's browser provides to the website each time the visitor returns. The Sites use cookies to help NZX identify and track visitors, their usage of the Site, and their Site access preferences. NZX may use cookies to tailor our services to meet your needs. Visitors who do not wish to have cookies placed on their computers should set their browsers to refuse cookies before using the Site, with the drawback that certain features of the Site may not function properly without the aid of cookies.

NZX uses Google Analytics to analyse the use of the Sites. Google Analytics uses cookies to collect, store, and transmit information about your use of the Sites to Google. Further information about how Google Analytics collects and processes data can be found at the following link: <http://www.google.com/policies/privacy/partners/>.





NZX also uses the Google Analytics Display Advertising feature 'Demographics and Interests Reporting' which enables Google Analytics to collect data about the age, gender and interest of visitors to our Sites via cookies and anonymous identifiers. Google will collect, store, and use this information to create statistical reports about the use of the Sites.

Google's privacy policy is available at: <http://www.google.co.nz/policies/privacy/>. Visitors can opt-out of the Google Analytics Advertising Features through their Ads Settings or any other available means. Google Analytics' currently available opt outs can be found at: <https://tools.google.com/dlpage/gaoptout/>

### **MyNZX and NZX virtualTrading**

**Provide Details:** When you access MyNZX or NZX virtualTrading you will be asked to voluntarily provide your name and email address. NZX will not be able to provide you with MyNZX or NZX virtualTrading services if you do not provide these details.

**MyNZX and NZX virtualTrading emails:** By providing NZX with your email address and password you consent to receive emails from NZX that relate to your MyNZX subscription or use of virtualTrading, to any functions of MyNZX or NZX virtualTrading you choose, and to products and services that NZX provides or related news and information, including marketing material, news, market updates and other information. NZX may send you information based on the parts of the Sites that you visit or financial products you click on.

**NZX Reference Number:** You must create a MyNZX account in order to obtain a NZX Reference Number; NZX will not be able to send you a NZX Reference Number if you do not create a MyNZX account. By creating a MyNZX account and completing the 4-step registration process you consent to receive an email from NZX providing your NZX Reference Number.

For the purposes of optimising the emails, and tailoring those emails to your interests, NZX will also collect information about the securities, data, funds or other products you click on, read about or choose to receive information about.

If you no longer wish to receive correspondence from NZX, please unsubscribe using the link in the email.

### **Registering for Email Updates**

**Provide Details:** If you register to receive updates on the NXT Market, you will be asked to provide your name and email address. NZX will not be able to send you updates by email if you do not provide these details.

**Email Updates:** By providing NZX with your name and email address you consent to receive emails from NZX that relate to the NXT Market. NZX may tailor the information we send you based on whether you choose to register as an 'Investor', 'Business' or 'Advisor' and the parts of the Site that you visit or the securities or other financial products you click on.

For the purposes of optimising the emails, and tailoring those emails to your interests, NZX will also collect information about the securities, data, funds or other products you click on, read about or choose to receive information about.

If you no longer wish to receive correspondence from NZX, please unsubscribe using the link in the email.





## Registering for a NZX Reference Number

Optional Details: When you create a MyNZX account and register for a NZX Reference Number, you can choose to provide NZX with personal information relating to your investment profile. If you choose to provide this information to NZX, NZX may use any of the information you provide for marketing purposes.

Verifying a NZX Reference Number: Your broker may request your NZX Reference Number in order to check whether you have read and understand the NXT Warning and are therefore eligible to trade on the NXT Market. By disclosing your NZX Reference Number to any other person (including your broker) you acknowledge that person can verify your NZX Reference Number using NZX's online verification process to check whether you are registered as a NXT investor.

## Personal Information

NZX collects and holds personal information about you through your use of the Sites, including your registration details and information relating to your use of the Sites and the content you access.

NZX collects this information for the purposes of conducting market research surveys, conducting statistical analysis of usage of our Sites, running competitions, determining advertising content, marketing, monitoring the security of our Sites, our IT systems and our networks and tailoring our services to match your needs and to improve the Sites generally.

NZX does not collect sensitive information such as your racial or ethnic origin, political opinions or religious beliefs.

The personal information collected by NZX will be held in third party data centres, and may be shared with third party organizations for security purposes.

NZX will only use such personal information for the purpose for which you have provided it, unless you consent otherwise or NZX is required by law to disclose it or NZX is otherwise permitted to use it under the Privacy Act 1993.

NZX will not sell your personal information to, or share it with, any other company outside of the NZX group or third party agency other than as set out in this Privacy Policy, except as permitted by law. NZX will disclose such personal information about you only to those employees of NZX who have a need to know.

You can access or request a correction to your personal information by writing to NZX at PO Box 2959, Wellington, or by sending an email to [info@nzx.com](mailto:info@nzx.com). NZX will correct personal information if we are satisfied that it is incorrect and will otherwise comply with our obligations under the Privacy Act 1993.

For more information please phone NZX on +64 4 472 7599 or email NZX at [info@nzx.com](mailto:info@nzx.com)

November 2016

