

**Appendix B - Gap Analysis Form**

<b>Safety Management System Requirements</b>	<b>Response (Yes/No)</b>	<b>If yes, state where the requirement is addressed, if no, record SMS processes that need further development</b>
<b>Component 1, Safety Management Plan - Element 1.1, Safety Policy</b>		
Is a safety management system with defined components established, maintained and adhered to? (CAR107.02)		
Is the safety management system appropriate to the size and complexity of the organization? (CAR107.04)		
Is there a safety policy in place? (CAR 107.03, 573.31, 705.152)		
Has the organization based its safety management system on the safety policy? (CAR 107.03)		
Is the safety policy approved by the accountable executive? (CAR 573.31, 705.152)		