

From June 2017 onward your ASX Cash Market Trading Invoice will change following an upgrade of ASX's billing system for the Cash Market Trading platform. The changes are format based only - there are no price changes.

There are three key points of difference with your June invoice:

#### 1. Product Groups and subtotals

Individual trade based product codes will now be grouped into the following product categories (in alignment with the [ASX Trade Markets Participant and Trading Schedule of Fees](#)) on your invoice:

Group	Product Code	Description
<b>TradeMatch</b>	02900040	TradeMatch - Equities Trade Fee (cap \$75/trade)
	02900060	TradeMatch - ETF Special Trade Fee (cap \$75/trade)
	02900140	TradeMatch - Warrants Trade Fee (cap \$75/trade)
	02900240	TradeMatch - Structured Products Trade Fee (cap \$75/trade)
	02900340	TradeMatch – IRS Trade Fee (cap \$75/trade)
<b>Centre Point</b>	02900046	CP - Equities Trade Fee (Std. User)
	02900345	CP - IRS Trade Fee (Std. User)
	02900352	CP - Equities Block Trade Fee (Std. User)
	02900355	CP - IRS Block Trade Fee (Std. User)
	02900362	CP - Equities Trade Fee (New User)
	02900363	CP - Equities Block Trade Fee (New User)
	02900364	CP - Equities Preferred Trade Fee (Std. User)
	02900365	CP - Equities Preferred Trade Fee (New User)
	02900369	CP - IRS Trade Fee (New User)
	02900370	CP - IRS Block Trade Fee (New User)
	02900371	CP - IRS Preferred Trade Fee (Std. User)
	02900372	CP - IRS Preferred Trade Fee (New User)
<b>ASX Sweep</b>	02900360	Sweep - Equities CP Trade Fee (New User)
	02900361	Sweep - Equities TradeMatch (New User) (cap \$75/trade)
	02900367	Sweep - IRS CP Trade Fee (New User)



Group	Product Code	Description
	02900368	Sweep - IRS TradeMatch (New User) (cap \$75/trade)
	02900373	Sweep - Equities TradeMatch Trade Fee (Std. User)
	02900374	Sweep - IRS TradeMatch Trade Fee (Std. User)
	02900375	Sweep - Equities CP Trade Fee (Std. User)
	02900378	Sweep - IRS CP Trade Fee (Std. User)
<b>Trade Reporting</b>	02900042	TRF - Equities Off-Mkt (cap \$75/trade,\$1,000 a month)
	02900047	TRF - Equities (Centre Point) (cap \$75/trade)
	02900142	TRF - Warrants Off-Mkt (cap \$75/trade)
	02900242	TRF - Structured Products Off-Mkt (cap \$75/trade)
	02900342	TRF - IRS Off-Mkt (cap \$75/trade)
	02900346	TRF - IRS (Centre Point) (cap \$75/trade)
	02900348	TRF - Equities NBBO (cap \$75/trade,\$1,000 a month)
	02900366	UCP Booking Report (cap \$75/trade)
<b>Auctions</b>	02900043	Auctions - Equities Trade Fee (cap \$75/trade)
	02900143	Auctions - Warrants Trade Fee (cap \$75/trade)
	02900243	Auctions - Structured Products Trade Fee (cap \$75/trade)
	02900343	Auctions - IRS Trade Fee (cap \$75/trade)
<b>Icebergs</b>	02900049	Iceberg - Equities Trade Fee (cap \$75/trade)
	02900144	Iceberg - Warrants Trade Fee (cap \$75/trade)
	02900244	Iceberg - Structured Products Trade Fee (cap \$75/trade)
	02900347	Iceberg - IRS Trade Fee (cap \$75/trade)
<b>Cancellations</b>	02900377	Cancelled Crossings Fee
	06200191	Cancellation - Trade(s) in Cash Mkt Products at/within QCR
	06200192	Cancellation - Trade(s) in Cash Mkt Products at/within ECR
	06200193	Cancellation - Trade(s) in Equity Derivatives at/within QCR
	06200194	Cancellation - Trade(s) in Equity Derivatives at/within ECR

Group	Product Code	Description
<b>On-Market Crossings</b>	02900041	On-market cross trade fee- Equities (\$75cap)
	02900141	On-market cross trade fee- Warrants (\$75cap)
	02900241	On-market cross trade fee- Structured Products (\$75cap)
	02900341	On-market cross trade fee- Interest Rate Securities (\$75cap)
<b>Undisclosed</b>	02900044	Equities and ETF Undisclosed Trade Fee
	02900344	Interest Rate Security Undisclosed Trade Fee
<b>Bookbuild</b>	02900150	ASX BookBuild Issuer Fee
	02900155	BookBuild Allocation Fee TLM priority Bid
	02900160	BookBuild Allocation Fee Non-priority Bid
	02900165	ASX BookBuild Issuer Fee Share
<b>Other</b>	06200278	ETF Market Maker Trading Fee Rebate

## 2. Product and Monthly Caps

For all capped products, where the cap has been reached the monthly invoice will now include an additional line description showing the actual (or uncapped) traded value.

Additionally, where monthly product charge caps have been reached; specifically for Off-Market Trade Reporting products:

- 02900042 - TRF Equities Off-Market (cap \$75/trade, \$1,000 per month)
- 02900348 - TRF Equities NBBO (cap \$75/trade, \$1,000 per month)

The new invoice will now show these product charges net of the fees exceeding the monthly cap. Previously these have been shown as gross charges with a contra product code (02900054 – ASX Trade Reporting Facility Cap Adjustment) showing a credit charge equivalent to the fees exceeding the \$1,000 cap.

## 3. New Product Codes

To improve the meaningfulness of ASX billing, some products have been disaggregated and now appear as discrete codes (with the same price). They include:

New	02900375	Sweep – Equities Centre Point Trade Fee (Std. User)
Old	02900046	Equities Centre Point Trade Fee (Std. User)
Comment	<b>Equity sweeps previously consolidated in standard Centre Point</b>	



New	02900378	Sweep – Interest Rates Centre Point Trade Fee (Std. User)
Old	02900345	Interest Rates Centre Point Trade Fee (Std. User)
Comment	<b>Interest Rates sweeps previously consolidated in standard Centre Point</b>	

New	02900140	TradeMatch – Warrants Trade Fee
Old	02900240	TradeMatch – Structured Products Trade Fee
Comment	<b>TradeMatch trades in structured product previously consolidated in TradeMatch Warrant Trade Fee.</b>	

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