

From June 2017 onward your ASX Cash Market Trading Invoice will change following an upgrade of ASX's billing system for the Cash Market Trading platform. The changes are format based only - there are no price changes.

There are three key points of difference with your June invoice:

1. Product Groups and subtotals

Individual trade based product codes will now be grouped into the following product categories (in alignment with the [ASX Trade Markets Participant and Trading Schedule of Fees](#)) on your invoice:

| Group | Product Code | Description |
|---------------------|------------------|---|
| TradeMatch | 02900040 | TradeMatch - Equities Trade Fee (cap \$75/trade) |
| | 02900060 | TradeMatch - ETF Special Trade Fee (cap \$75/trade) |
| | 02900140 | TradeMatch - Warrants Trade Fee (cap \$75/trade) |
| | 02900240 | TradeMatch - Structured Products Trade Fee (cap \$75/trade) |
| | 02900340 | TradeMatch – IRS Trade Fee (cap \$75/trade) |
| Centre Point | 02900046 | CP - Equities Trade Fee (Std. User) |
| | 02900345 | CP - IRS Trade Fee (Std. User) |
| | 02900352 | CP - Equities Block Trade Fee (Std. User) |
| | 02900355 | CP - IRS Block Trade Fee (Std. User) |
| | 02900362 | CP - Equities Trade Fee (New User) |
| | 02900363 | CP - Equities Block Trade Fee (New User) |
| | 02900364 | CP - Equities Preferred Trade Fee (Std. User) |
| | 02900365 | CP - Equities Preferred Trade Fee (New User) |
| | 02900369 | CP - IRS Trade Fee (New User) |
| | 02900370 | CP - IRS Block Trade Fee (New User) |
| | 02900371 | CP - IRS Preferred Trade Fee (Std. User) |
| | 02900372 | CP - IRS Preferred Trade Fee (New User) |
| | ASX Sweep | 02900360 |
| 02900361 | | Sweep - Equities TradeMatch (New User) (cap \$75/trade) |
| 02900367 | | Sweep - IRS CP Trade Fee (New User) |



| Group | Product Code | Description |
|------------------------|--------------|---|
| | 02900368 | Sweep - IRS TradeMatch (New User) (cap \$75/trade) |
| | 02900373 | Sweep - Equities TradeMatch Trade Fee (Std. User) |
| | 02900374 | Sweep - IRS TradeMatch Trade Fee (Std. User) |
| | 02900375 | Sweep - Equities CP Trade Fee (Std. User) |
| | 02900378 | Sweep - IRS CP Trade Fee (Std. User) |
| Trade Reporting | 02900042 | TRF - Equities Off-Mkt (cap \$75/trade,\$1,000 a month) |
| | 02900047 | TRF - Equities (Centre Point) (cap \$75/trade) |
| | 02900142 | TRF - Warrants Off-Mkt (cap \$75/trade) |
| | 02900242 | TRF - Structured Products Off-Mkt (cap \$75/trade) |
| | 02900342 | TRF - IRS Off-Mkt (cap \$75/trade) |
| | 02900346 | TRF - IRS (Centre Point) (cap \$75/trade) |
| | 02900348 | TRF - Equities NBBO (cap \$75/trade,\$1,000 a month) |
| | 02900366 | UCP Booking Report (cap \$75/trade) |
| Auctions | 02900043 | Auctions - Equities Trade Fee (cap \$75/trade) |
| | 02900143 | Auctions - Warrants Trade Fee (cap \$75/trade) |
| | 02900243 | Auctions - Structured Products Trade Fee (cap \$75/trade) |
| | 02900343 | Auctions - IRS Trade Fee (cap \$75/trade) |
| Icebergs | 02900049 | Iceberg - Equities Trade Fee (cap \$75/trade) |
| | 02900144 | Iceberg - Warrants Trade Fee (cap \$75/trade) |
| | 02900244 | Iceberg - Structured Products Trade Fee (cap \$75/trade) |
| | 02900347 | Iceberg - IRS Trade Fee (cap \$75/trade) |
| Cancellations | 02900377 | Cancelled Crossings Fee |
| | 06200191 | Cancellation - Trade(s) in Cash Mkt Products at/within QCR |
| | 06200192 | Cancellation - Trade(s) in Cash Mkt Products at/within ECR |
| | 06200193 | Cancellation - Trade(s) in Equity Derivatives at/within QCR |
| | 06200194 | Cancellation - Trade(s) in Equity Derivatives at/within ECR |

| Group | Product Code | Description |
|----------------------------|--------------|---|
| On-Market Crossings | 02900041 | On-market cross trade fee- Equities (\$75cap) |
| | 02900141 | On-market cross trade fee- Warrants (\$75cap) |
| | 02900241 | On-market cross trade fee- Structured Products (\$75cap) |
| | 02900341 | On-market cross trade fee- Interest Rate Securities (\$75cap) |
| Undisclosed | 02900044 | Equities and ETF Undisclosed Trade Fee |
| | 02900344 | Interest Rate Security Undisclosed Trade Fee |
| Bookbuild | 02900150 | ASX BookBuild Issuer Fee |
| | 02900155 | BookBuild Allocation Fee TLM priority Bid |
| | 02900160 | BookBuild Allocation Fee Non-priority Bid |
| | 02900165 | ASX BookBuild Issuer Fee Share |
| Other | 06200278 | ETF Market Maker Trading Fee Rebate |

2. Product and Monthly Caps

For all capped products, where the cap has been reached the monthly invoice will now include an additional line description showing the actual (or uncapped) traded value.

Additionally, where monthly product charge caps have been reached; specifically for Off-Market Trade Reporting products:

- 02900042 - TRF Equities Off-Market (cap \$75/trade, \$1,000 per month)
- 02900348 - TRF Equities NBBO (cap \$75/trade, \$1,000 per month)

The new invoice will now show these product charges net of the fees exceeding the monthly cap. Previously these have been shown as gross charges with a contra product code (02900054 – ASX Trade Reporting Facility Cap Adjustment) showing a credit charge equivalent to the fees exceeding the \$1,000 cap.

3. New Product Codes

To improve the meaningfulness of ASX billing, some products have been disaggregated and now appear as discrete codes (with the same price). They include:

| | | |
|---------|---|---|
| New | 02900375 | Sweep – Equities Centre Point Trade Fee (Std. User) |
| Old | 02900046 | Equities Centre Point Trade Fee (Std. User) |
| Comment | Equity sweeps previously consolidated in standard Centre Point | |



| | | |
|---------|---|---|
| New | 02900378 | Sweep – Interest Rates Centre Point Trade Fee (Std. User) |
| Old | 02900345 | Interest Rates Centre Point Trade Fee (Std. User) |
| Comment | Interest Rates sweeps previously consolidated in standard Centre Point | |

| | | |
|---------|---|--|
| New | 02900140 | TradeMatch – Warrants Trade Fee |
| Old | 02900240 | TradeMatch – Structured Products Trade Fee |
| Comment | TradeMatch trades in structured product previously consolidated in TradeMatch Warrant Trade Fee. | |

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