



# Power of Attorney Authorization Form for Person Unable to Act

Use this form to appoint an Attorney-in-Fact when the account owner is unable to act and cannot complete the Invesco Power of Attorney Authorization Form.

**Do not use this form if the account owner is able to act. If the account owner is able to act, complete the Invesco Power of Attorney Authorization Form.**

**This is an important legal document. Before executing this form, please review the important information below:**

- This document should be completed by the account owner's Attorney-in-Fact when the account owner is unable to act.
- This document gives the Attorney-in-Fact broad powers over the owner's Invesco account.
- The account owner, as grantor, must have previously granted Power of Attorney to the Attorney-in-Fact which remains in effect, a copy of which must be provided with this form.
- The grantor and the Attorney-in-Fact have the right to revoke or terminate this Power of Attorney at any time by providing written notice to Invesco Investment Services, Inc. (IIS).
- The Attorney-in-Fact must be a U.S. Citizen.
- For an Invesco IRA (including Traditional, Roth, and SEP), Transfer on Death (TOD), Individual, or Joint account, the completion of this form will allow permanent addition of the Attorney-in-Fact to the account registration.
- For Invesco 403(b), SIMPLE IRA, SARSEP IRA and other employer sponsored plans, a new Invesco Power of Attorney Authorization Form must be submitted for each transaction request. The Attorney-in-Fact may not be added to these accounts.
- For an Attorney-in-Fact to be named on a joint account, the joint owner(s) must sign this form.
- The Attorney-in-Fact must sign this form and have their signature notarized.

**IMPORTANT:** Federal law mandates that all financial institutions obtain, verify, and record information identifying each person(s) who maintains an account for another individual(s) who lacks legal capacity. Please verify the following information is accurate for the designated Attorney-in-Fact: name, Social Security number, date of birth and physical residential address. If you fail to provide the requested information and/or any of the information cannot be confirmed, IIS reserves the right to not honor instructions received from the Attorney-in-Fact. All information provided is kept confidential as detailed in the Invesco Privacy Policy located at the end of this form.

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

## 1 | Invesco Account Number and Registration Information

Social Security Number

Invesco Account Number *(List all applicable.)*

Name of Account Owner

Name of Joint Account Owner *(If applicable)*

Primary Phone Number

Email Address

## 2 | Grantor

**Important Note:** The Attorney-in-Fact has legal authority to act on behalf of another living individual, known as the grantor. The grantor may be the account owner or the beneficiary of the account owner. (If Power of Attorney is being granted for more than one account owner, a separate form is required from each.)

Full Name of Grantor

**3 | Attorney-in-Fact Information (Required)**

Full Name of Attorney-in-Fact for the Grantor named in Section 2

Social Security Number

Date of Birth (mm/dd/yyyy)

Mailing Address (Including apartment or P.O. Box number.)

City

State

ZIP

Primary Phone Number

Email Address

Residential Address (Required if different than mailing address or if a P.O. Box address was given above.)

City

State

ZIP

☐ I request duplicate statements sent directly to the above mailing address.
**4 | Governing Law (Please read.)**

The laws of the state of Delaware shall apply and bind the parties in any and all questions arising under this Power of Attorney agreement, including questions of validity, interpretation and performance.

**5 | Terms and Conditions (Please read.)**

**Authority granted to the Attorney-in-Fact:** The designated Attorney-in-Fact may inquire about, purchase and exchange mutual funds within the owner(s)' Invesco account(s) in the same manner and to the same extent that the owner(s) is permitted to do so. In addition, the designated Attorney-in-Fact may withdraw assets from the owner(s)' Invesco account(s) regardless of the tax consequences of such distribution, and make changes to the dividend and capital gain distribution options. All distributions will be made payable to the account owners(s). The designated Attorney-in-Fact is not authorized to draw checks (Check Writing Option) upon the owner(s)' Invesco account(s). To the extent permitted by applicable taxing authority and law, the designated Attorney-in-Fact is also authorized to make and exercise any tax elections available to the grantor under federal, state, or local tax law related to any of the Invesco accounts set forth on this form. With respect to IRAs, including Traditional IRAs, Roth IRAs, and SEP IRAs, the designated Attorney-in-Fact may initiate rollovers, Roth IRA conversions, IRA recharacterizations, and other transfers of assets between and among the grantor's account(s).

**Role of Invesco - Disclaimer:** Invesco is not acting as a fiduciary pursuant to the Attorney-in-Fact to this Power of Attorney Authorization Form for Person Unable to Act. Invesco is not responsible for investigating the Attorney-in-Fact or the validity of his/her appointment. Invesco will only effect instructions it receives from an Attorney-in-Fact. The account owner(s) and Attorney-in-Fact are solely responsible for determining the suitability for the account owner(s) of any investment strategy or transaction. Invesco assumes no responsibility whatsoever for reviewing or monitoring any investment decision or activity of the Attorney-in-Fact. Invesco will not provide legal, trading or tax advice.

**Termination:** The account owner and/or Attorney-in-Fact agree to notify Invesco immediately, in writing, if the account owner(s) desires to revoke the authority given to the Attorney-in-Fact under this authorization form. The Attorney-in-Fact agrees to notify Invesco immediately, in writing, upon the account owner's death. This Power of Attorney shall remain in effect until Invesco receives written notice of its termination and has had sufficient time to process such notice and terminate the authority of the Attorney-in-Fact. The Power of Attorney shall automatically be deemed revoked upon receipt of a new or subsequent Power of Attorney Authorization Form or Power of Attorney Authorization Form for Person Unable to Act Form. Invesco reserves the right, in its sole discretion, to no longer honor instructions from the Attorney-in-Fact designated herein and will promptly notify the Attorney-in-Fact if it chooses to do so.

**Indemnification of Invesco by Account Owner(s) including joint owners if applicable:** The account owner(s) agrees to indemnify and hold harmless Invesco, its affiliates, each of their respective employees, officers, trustees, or directors, and each of the Invesco funds from and against any and all claims, losses, liabilities, damages, and expenses, including attorney fees, that may be incurred by their reliance on this Power of Attorney or their execution of the Attorney-in-Fact's instructions. The account owner(s) agrees that because Invesco will not supervise or monitor the Attorney-in-Fact's trading decisions or other activities with respect to the accounts designated in this form, the account owner(s) will not attempt to hold Invesco liable for any trade, withdrawal or decision made by the Attorney-in-Fact whom the grantor has selected, regardless of whether or not such trade, withdrawal or decision was specifically authorized by the account owner(s). The account owner(s) hereby ratifies and confirms any and all transactions, trades, withdrawals or other dealings effected in and for the account owner(s) by the Attorney-in-Fact designated herein. Invesco's rights under this paragraph are in addition to any other rights it has under other agreements with the joint account owner(s) and/or Attorney-in-Fact.

**Indemnification of Invesco by Attorney-in-Fact:** The Attorney-in-Fact agrees to indemnify and hold harmless Invesco, its affiliates, each of their respective employees, officers, trustees, or directors, and each of the Invesco funds from and against any and all claims, losses, liabilities, damages, and expenses, including attorney fees, that may arise out of or relate to any breach incurred by the Attorney-in-Fact of any provision of this agreement or other agreements with Invesco and/or the account owner(s); the performance or non-performance of the Attorney-in-Fact's services; and any trade, withdrawal, redemption or tax issue or action of the Attorney-in-Fact in the account(s); and any disputes involving the Attorney-in-Fact and the account owner(s). Invesco's rights under this paragraph are in addition to any other rights it has under other agreements with the account owner(s) and/or Attorney-in-Fact.

## 6 | Authorization and Signature of Attorney-in-Fact (Please sign and date below.)

### REQUEST FOR TAXPAYER IDENTIFICATION NUMBER (Substitute Form W-9)

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number, **and**
2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and**
3. I am a U.S. person (including a U.S. resident alien), **and**
4. The requirement to provide FATCA exemption codes does not apply.

**Certification Instructions:** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN.

**I hereby certify that I have been appointed Attorney-in-Fact for the account owner listed in section 1 of this form, under the enclosed Power of Attorney. I further certify that the grantor of this document is still living and that the copy provided is in full force and effect and is a true and accurate copy of the original including any amendments.**

By signing below, I understand and acknowledge that IIS will treat all directions, orders, requests and other activity coming from me as if such orders, requests, and other activity had come directly from the account owner(s) and I represent that I have read and agree to be bound by all of the terms and conditions of this Power of Attorney Authorization Form for Person Unable to Act.

**Signature of Attorney-in-Fact (Required)**

Date (mm/dd/yyyy)

**X**

### Certification of Acknowledgement of Notary Public:

State of \_\_\_\_\_, in the County of \_\_\_\_\_ Subscribed and sworn before me by the above-named Attorney-in-Fact who is personally known to me or who has produced (type of identification) \_\_\_\_\_ as identification, that the foregoing statements were true and accurate and made of his/her own free act and deed, on (Date - mm/dd/yyyy) \_\_\_\_\_.

Notary Public

My Commission Expires: \_\_\_\_\_

Date (mm/dd/yyyy) \_\_\_\_\_

Notary Seal

**7 | Authorization and Signature of Joint Account Owner** *(Please sign and date below if applicable.)*

By signing below, I acknowledge that the Attorney-in-Fact will possess the same account rights as the joint owner, including authorizing transactions by telephone and/or web.

**Signature of Joint Account Owner**

Date (mm/dd/yyyy)

X**8 | Mailing Instructions**

Please send completed and signed form to:

**(Direct Mail)**

Invesco Investment Services, Inc.  
P.O. Box 219078  
Kansas City, MO 64121-9078

**(Overnight Mail)**

Invesco Investment Services, Inc.  
c/o DST Systems, Inc.  
430 W. 7th Street  
Kansas City, MO 64105-1407

**For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.**



Rev. March 5, 2020

## FACTS

### WHAT DOES INVESCO DO WITH YOUR PERSONAL INFORMATION? \*

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Transaction history and investment experience
- Investment experience and assets

When you are *no longer* our customer, we continue to share information about you according to our policies.

#### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Invesco chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Invesco share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	We do not share
<b>For joint marketing with other financial companies</b>	No	We do not share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	No	We do not share
<b>For our affiliates' everyday business purposes—</b> information about your credit worthiness	No	We do not share
<b>For our affiliates to market to you</b>	No	We do not share
<b>For non-affiliates to market to you</b>	No	We do not share

#### Questions?

Call 1-800-959-4246 (toll free).

\* This privacy notice applies to individuals who obtain or have obtained a financial product or service from the Invesco family of companies. For a complete list of Invesco entities, please see the section titled "Who is providing this notice" on page 2.

## Who we are

<b>Who is providing this notice?</b>	Invesco Advisers, Inc., Invesco Private Capital, Inc., Invesco Senior Secured Management, Inc., WL Ross & Co. LLC, Invesco Distributors, Inc., Invesco Managed Accounts, LLC, and the Invesco family of mutual funds.
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## What we do

<b>How does Invesco protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Invesco collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>▪ Open an account or give us your contact information</li> <li>▪ Make deposits or withdrawals from your account or give us your income information</li> <li>▪ Make a wire transfer</li> </ul> <p>We also collect your personal information from others, such as credit bureaus, affiliates or other companies.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>▪ Sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>▪ Affiliates from using your information to market to you</li> <li>▪ Sharing for nonaffiliates to market to you</li> </ul>

## Definitions

<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><i>Invesco does not share with our affiliates so that they can market to you.</i></p>
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><i>Invesco does not share with non-affiliates so that they can market to you.</i></p>
<b>Joint marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <p><i>Invesco doesn't jointly market.</i></p>