

<b>5-Year PHA Plan (for All PHAs)</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires: 02/29/2016</b>
---	---	--

**Purpose.** The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

**Applicability.** Form HUD-50075-5Y is to be completed once every 5 PHA fiscal years by all PHAs.

<b>A.</b>	<b>PHA Information.</b>																																				
<b>A.1</b>	<p><b>PHA Name:</b> <u>Berkeley Housing Authority</u> <span style="float: right;"><b>PHA Code:</b> <u>CA058</u></span></p> <p><b>PHA Plan for Fiscal Year Beginning:</b> <u>(07/2019)</u></p> <p><b>PHA Plan Submission Type:</b> <input checked="" type="checkbox"/> 5-Year Plan Submission <input type="checkbox"/> Revised 5-Year Plan Submission</p> <p><b>Availability of Information.</b> In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information on the PHA policies contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official websites. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.</p> <p>Specific locations where the public may obtain copies of the 5-Year and Annual PHA Plan are:</p> <ol style="list-style-type: none"> <li>1. BHA's office, 1936 University Ave., Suite 150, Berkeley, CA 94704.</li> <li>2. BHA's website, <a href="http://www.cityofberkeley.info/bha">www.cityofberkeley.info/bha</a></li> <li>3. Calling 510-981-5470 for a copy to be mailed or</li> <li>4. Emailing <a href="mailto:bha@ci.berkeley.ca.us">bha@ci.berkeley.ca.us</a> for a copy to be emailed.</li> </ol> <p><input type="checkbox"/> PHA Consortia: (Check box if submitting a Joint PHA Plan and complete table below)</p> <table border="1" data-bbox="203 1234 1468 1871"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) in the Consortia</th> <th rowspan="2">Program(s) not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>Lead PHA:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>					Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program		PH	HCV	Lead PHA:																							
Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program																																	
				PH	HCV																																
Lead PHA:																																					

<b>B.</b>	<b>5-Year Plan.</b> Required for <u>all</u> PHAs completing this form.
<b>B.1</b>	<p><b>Mission.</b> State the PHA’s mission for serving the needs of low- income, very low- income, and extremely low- income families in the PHA’s jurisdiction for the next five years.</p> <p>BHA’s mission is to deliver housing, housing assistance and related services to low-income:</p> <ul style="list-style-type: none"> <li>• Elderly persons 62 or older;</li> <li>• Families, including those with children;</li> <li>• Persons with verifiable disabilities;</li> <li>• Emancipated youth; and</li> <li>• “Other low-income persons (“other singles”) who are not elderly or do not have verifiable disabilities.</li> </ul> <p>During the period of their assistance by BHA, these participants are expected to avail themselves, as necessary, of those supportive family services, education, training and job development activities needed for them to achieve self-sufficiency and economic independence.</p>
<b>B.2</b>	<p><b>Goals and Objectives.</b> Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low- income, very low- income, and extremely low- income families for the next five years.</p> <p><u>Goals and Objectives for Next 5 Years:</u></p> <ul style="list-style-type: none"> <li>• Begin issuing new Tenant-based vouchers &amp; Project-based voucher allocations once again, now that BHA is out of shortfall status (eff. Feb. 2019)</li> <li>• Continue to apply for future NOFAs that allocate issuance of new voucher opportunities</li> <li>• Obtain waivers for funding, to possibly include HAP expenses, and FSS Coordinator</li> <li>• Collaborate with City of Berkeley to determine possible financial support of a sustained housing authority in Berkeley</li> <li>• Determine feasibility of a low income housing development project with use of disposition proceeds</li> <li>• Seek low-cost rental space for BHA office, when lease expires Dec. 31, 2020.</li> </ul>
<b>B.3</b>	<p><b>Progress Report.</b> Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p><u>Progress in meeting the goals and objectives in the previous 5-Year Plan:</u></p> <p>The previous 5-Year Plan goals were as follows, with progress reported after each goal.</p> <ul style="list-style-type: none"> <li>• Implement the FSS Program (current HUD waiver expired May 2016). <u>Progress:</u> FSS program is implemented, with X out of 37 slots filled, and the remaining slots actively being filled. Out of those participating in FSS, X are earning escrow. FSS Coordinator position has been unfunded since implementation of the program because FSS Coordinator NOFAs continue to state that only those programs that were funded in prior years can be qualified to apply.</li> <li>• Review staffing levels to include possible programmatic expansion. <u>Progress:</u> BHA entered a HAP budget shortfall situation in 2017, which has continued until Feb. 2019, therefore staffing levels have remained in place.</li> <li>• Research possibility of expanding into other programmatic areas including VASH, homeless continuum. <u>Progress:</u> BHA has been successful in obtaining two VASH allocations, for a total of 20 VASH vouchers currently. Additionally, BHA was awarded an allocation of 40 Mainstream Vouchers.</li> <li>• Research possible IT cost savings measures including possible lower cost database, phone system, and paperless office, etc. <u>Progress:</u> The City of Berkeley continues to support BHA’s IT/phone systems, and it has not been feasible, due to limited operational resources, to identify and implement a new database/phone system/paperless office.</li> </ul>

<p><b>B.4</b></p>	<p><b>Violence Against Women Act (VAWA) Goals.</b> Provide a statement of the PHA’s goals, activities objectives, policies, or programs that will enable the PHA to serve the needs of child and adult victims of domestic violence, dating violence, sexual assault, or stalking.</p> <p>BHA has a robust VAWA Plan, including details in the Administrative Plan, the BHA website, and a notice attached to every reexamination packet. Chapters in the Admin. Plan in which VAWA policies are mentioned include: Fair Housing and Equal Opportunity (Ch. 2); Eligibility (Ch.3); Moving with Continued Assistance &amp; Portability (Ch. 10); Termination of Assistance and Tenancy (Ch. 12); Program Administration (Ch. 16).</p> <p>For details, see BHA’s website, <a href="https://www.cityofberkeley.info/bha">https://www.cityofberkeley.info/bha</a> for the following documents:</p> <ul style="list-style-type: none"> <li>• VAWA 2013 Notice to Program Participants</li> <li>• VAWA 2013 Notice to Landlords</li> <li>• Certification of Domestic Violence, Dating Violence, Sexual Assault, or Stalking</li> <li>• Notice of Occupancy Rights under the Violence Against Women Act.</li> </ul>
<p><b>B.5</b></p>	<p><b>Significant Amendment or Modification.</b> Provide a statement on the criteria used for determining a significant amendment or modification to the 5-Year Plan.</p> <p>BHA’s definition of significant amendment or modification to the 5-Year Plan include substantial changes to the following facets of the Admin. Plan:</p> <ul style="list-style-type: none"> <li>• Policy for insufficient funding;</li> <li>• Waitlist management, preference categories and/or weights;</li> <li>• Subsidy standards;</li> <li>• Administration of the Project-based program.</li> </ul>
<p><b>B.6</b></p>	<p><b>Resident Advisory Board (RAB) Comments.</b></p> <p>(a) Did the RAB(s) provide comments to the 5-Year PHA Plan?</p> <p>Y    N  <input checked="" type="checkbox"/>   <input type="checkbox"/></p> <p>(b) If yes, comments must be submitted by the PHA as an attachment to the 5-Year PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.</p> <p>Please see attached RAB Comments, from the March 7, 2019 RAB meeting, <span style="background-color: yellow;">[Forthcoming]</span></p>
<p><b>B.7</b></p>	<p><b>Certification by State or Local Officials.</b></p> <p><a href="#">Form HUD 50077-SL</a>, <i>Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.</p> <p>Please see attached.</p>

# Instructions for Preparation of Form HUD-50075-5Y 5-Year PHA Plan for All PHAs

---

## A. PHA Information [24 CFR §903.23\(4\)\(e\)](#)

**A.1** Include the full **PHA Name**, **PHA Code**, **PHA Fiscal Year Beginning** (MM/YYYY), **PHA Plan Submission Type**, and the **Availability of Information**, specific location(s) of all information relevant to the hearing and proposed PHA Plan.

**PHA Consortia:** Check box if submitting a Joint PHA Plan and complete the table.

## B. 5-Year Plan.

**B.1 Mission.** State the PHA's mission for serving the needs of low- income, very low- income, and extremely low- income families in the PHA's jurisdiction for the next five years. ([24 CFR §903.6\(a\)\(1\)](#))

**B.2 Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low- income, very low- income, and extremely low- income families for the next five years. ([24 CFR §903.6\(b\)\(1\)](#)) For Qualified PHAs only, if at any time a PHA proposes to take units offline for modernization, then that action requires a significant amendment to the PHA's 5-Year Plan.

**B.3 Progress Report.** Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. ([24 CFR §903.6\(b\)\(2\)](#))

**B.4 Violence Against Women Act (VAWA) Goals.** Provide a statement of the PHA's goals, activities objectives, policies, or programs that will enable the PHA to serve the needs of child and adult victims of domestic violence, dating violence, sexual assault, or stalking. ([24 CFR §903.6\(a\)\(3\)](#))

**B.5 Significant Amendment or Modification.** Provide a statement on the criteria used for determining a significant amendment or modification to the 5-Year Plan.

### B.6 Resident Advisory Board (RAB) comments.

(a) Did the public or RAB provide comments?

(b) If yes, submit comments as an attachment to the Plan and describe the analysis of the comments and the PHA's decision made on these recommendations. ([24 CFR §903.17\(a\)](#), [24 CFR §903.19](#))

---

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year PHA Plan. The 5-Year PHA Plan provides the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families and the progress made in meeting the goals and objectives described in the previous 5-Year Plan.

Public reporting burden for this information collection is estimated to average .76 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

---