

Monthly Operations Report for January 2021

Investigations

	Monthly Count	Year to Date (CY 2021)	Notes
Number of tips, complaints, and reports received	9	9	
Number of 15-day letters sent	0	0	
Number of investigations opened	0	0	
Number of matters closed	8	8	
Number of guidance letters sent	0	0	
Number of matters pending as of January 31	See YTD	62	
Number of open investigations as of January 31	See YTD	37	

Ethics

	Monthly Count	Year to Date (CY 2021)	Notes
Total Requests for Guidance	85	85	
Post-Employment	39	39	
Gifts	3	3	
Outside Activity	29	29	
Reimbursement for Official Activity	0	0	
Conflict of Interest	6	6	
Miscellaneous	8	8	
Publications & Eblasts	0	0	

Lobbying

Highlights: The revised lobbying regulations became effective for the biennial registration period beginning on January 1, 2021 which has resulted in a continued increase in helpdesk activity and guidance in January.

	Monthly Count	Year to Date (CY 2021)	Notes
Number of Individual & Principal Lobbyists	5,213	5,213	
Number of Clients	2,587	2,587	
Number of Public Corps	45	45	
Lobbying Inquiries	5,507	5,507	Emails: 3,837 Phone Calls: 1,670
Publications & Eblasts	4	4	

FDS

	Monthly Count	Year to Date (CY 2021)	Notes
Number of Filings Submitted	668	668	
Failure to File Notices Issued	0	0	
Notices of Delinquency Issued	0	0	
Number of Exemption and Deletion Requests	1	1	

Training

	Monthly Count	Year to Date (CY 2021)	Notes
Ethics Trainings Held	2	2	
Number of individuals who received ethics training	92	92	
Number of individuals overdue for ethics training as of January 11, 2021	See YTD	2306	
Number of individuals who received lobbying training	82	82	

Audit and Review

	Monthly Count	Year to Date (CY 2021)	Notes
Number of Random Audits of lobbying filings completed	34	34	
Number of Random FDS reviews completed	0	0	Current focus is on Targeted FDS Reviews
Requests for Additional Information Letters (RAIL) sent after Random Review	0	0	
Number of Targeted FDS reviews completed	67	67	
RAILs sent after Targeted Review	3	3	

Public Information Office

	Monthly Count	Year to Date (CY 2021)	Notes
Records Access Requests	10	10	
Records Provided	49	49	